

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/847,513

Source: OIPE

Date Processed by STIC: 5/16/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER VERSION 3.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO).

Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

<http://www.uspto.gov/web/offices/pac/checker>

Raw Sequence Listing Error Summary

<u>ERROR DETECTED</u>	<u>SUGGESTED</u>	<u>CORRECTION</u>	<u>SERIAL NUMBER:</u> <u>09/847,573</u>
ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE			
1 <input type="checkbox"/> Wrapped Nucleic		The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3, as this will prevent "wrapping".	
2 <input type="checkbox"/> Wrapped Aminos		The amino acid number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3, as this will prevent "wrapping".	
3 <input type="checkbox"/> Incorrect Line Length		The rules require that a line not exceed 72 characters in length. This includes spaces.	
4 <input type="checkbox"/> Misaligned Amino Acid Numbering		The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and use spacing between the numbers.	
5 <input type="checkbox"/> Non-ASCII		This file was not saved in ASCII (DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text so that it can be processed.	
6 <input type="checkbox"/> Variable Length		Sequence(s) _____ contain n's or Xaa's which represented more than one residue. As per the rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing.	
7 <input type="checkbox"/> PatentIn ver. 2.0 "bug"		A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies primarily to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
8 <input type="checkbox"/> Skipped Sequences (OLD RULES)		Sequence(s) _____ missing. If intentional, please use the following format for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (i) SEQUENCE CHARACTERISTICS: (Do not insert any headings under "SEQUENCE CHARACTERISTICS") (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: This sequence is intentionally skipped Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).	
9 <input type="checkbox"/> Skipped Sequences (NEW RULES)		Sequence(s) _____ missing. If intentional, please use the following format for each skipped sequence. <210> sequence id number <400> sequence id number 000	
10 <input type="checkbox"/> Use of n's or Xaa's (NEW RULES)		Use of n's and/or Xaa's have been detected in the Sequence Listing. Use of <220> to <223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
11 <input type="checkbox"/> Use of "Artificial" (NEW RULES)		Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.	
12 <input type="checkbox"/> Use of <220>Feature (NEW RULES)		Sequence(s) _____ are missing the <220>Feature and associated headings. Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial Sequence" or "Unknown" Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)	
13 <input type="checkbox"/> PatentIn ver. 2.0 "bug"		Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other means to copy file to floppy disk.	

OIPE

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/847,513

DATE: 05/16/2001
TIME: 13:37:02

Input Set : A:\MBA10101.txt
Output Set: N:\CRF3\05162001\I847513.raw

Does Not Comply
Corrected Diskette Needed

3 <110> APPLICANT: MBARI
4 DeLong, Edward
5 Beja, Oded
7 <120> TITLE OF INVENTION: Light-driven energy generation using proteorhodopsin
9 <130> FILE REFERENCE: MBA-101
C--> 11 <140> CURRENT APPLICATION NUMBER: US/09/847,513
C--> 11 <141> CURRENT FILING DATE: 2001-05-01
11 <150> PRIOR APPLICATION NUMBER: 60/201,602
12 <151> PRIOR FILING DATE: 2000-05-03
14 <160> NUMBER OF SEQ ID NOS: 65
16 <170> SOFTWARE: PatentIn version 3.0
18 <210> SEQ ID NO: 1
19 <211> LENGTH: 105184
20 <212> TYPE: DNA
21 <213> ORGANISM: Naturally occurring gamma proteobacterium
23 <220> FEATURE:
W--> 24 <221> NAME/KEY: CDS(complement)
25 <222> LOCATION: (50866)..(51615)
26 <223> OTHER INFORMATION: light-driven proton pump; has the properties of a light-driven proton pump when expressed with retinal in Escherichia col
30 <300> PUBLICATION INFORMATION:
31 <301> AUTHORS: Beja,O., Aravind,L., Koonin,E.V., Suzuki,M.T., Hadd,A., Nguyen,L.P.,
32 Jovanovich,S.B., Gates,C.M., Feldman,R.A., DeLong,E.F
33 <302> TITLE: Bacterial rhodopsin: evidence for a new type of phototrophy in the sea
34 <303> JOURNAL: Science
35 <304> VOLUME: 289
36 <305> ISSUE: 5486
37 <306> PAGES: 1902-1906
38 <307> DATE: 2000-09-15
39 <308> DATABASE ACCESSION NO: AF279106
40 <309> DATABASE ENTRY DATE: 2000-06-15
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46 tcttccttagat acagacatga gagttcttga ttccgcttag tcaagaaaacc tttgcgagtt 180
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RAW SEQUENCE LISTING DATE: 05/16/2001
 PATENT APPLICATION: US/09/847,513 TIME: 13:37:02

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 Output Set: N:\CRF3\05162001\I847513.raw

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See item 10 on
Error Summary
Sheet

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Input Set : A:\MBA10101.txt

Output Set: N:\CRF3\05162001\I847513.raw

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336	ttt	gtgg	attt	tttgc	tttgc	tttgc	tttgc	8820
338	tct	cgtt	aa	tttgc	tttgc	tttgc	tttgc	8880
340	aataa	gtt	tttgc	tttgc	tttgc	tttgc	tttgc	8940
342	ca	ctt	tttgc	tttgc	tttgc	tttgc	tttgc	9000
344	ac	ctt	tttgc	tttgc	tttgc	tttgc	tttgc	9060
346	tag	ctt	tttgc	tttgc	tttgc	tttgc	tttgc	9120
348	gac	at	tttgc	tttgc	tttgc	tttgc	tttgc	9180
350	tgt	aa	tttgc	tttgc	tttgc	tttgc	tttgc	9240
352	agat	tttgc	tttgc	tttgc	tttgc	tttgc	tttgc	9300
354	ctg	caa	tttgc	tttgc	tttgc	tttgc	tttgc	9360
356	gc	act	tttgc	tttgc	tttgc	tttgc	tttgc	9420
358	tgt	tttgc	tttgc	tttgc	tttgc	tttgc	tttgc	9480
360	attc	tttgc	tttgc	tttgc	tttgc	tttgc	tttgc	9540
362	tatt	tc	tttgc	tttgc	tttgc	tttgc	tttgc	9600
364	aagt	tttgc	tttgc	tttgc	tttgc	tttgc	tttgc	9660

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/847,513

DATE: 05/16/2001

TIME: 13:37:02

Input Set : A:\MBA10101.txt

Output Set: N:\CRF3\05162001\I847513.raw

366	agagcttaa	aaattaaaaa	acataaaaat	taagatcatt	aacccactaa	tgggatccaa	9720
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370	agatagata	ctcagcctc	aagcaggaac	atctcagtt	atacctattt	gttttcaat	9840
372	gtacttagaa	gatcccgtc	ttgcagcaat	ggttatacct	agatcaggtt	tagttctaa	9900
374	gcatggaatc	gttcttgta	atctggttgg	tttgattgat	tcagactatc	aaggagagct	9960
376	aatggttcct	gccttggata	gatcagatac	agatttttag	attaatcctg	gagacaggat	10020
378	tgcacaaatg	attatagttc	cagtattca	agcagatttt	gaaattttag	acgagttcaa	10080
380	tgagactcag	aggggagaaa	agggttttgg	aagttcaggt	ataaaattgtat	aaatttactt	10140
382	tttcctgcca	aatctttctt	caaatttctg	aactcttccg	ccagttatcaa	taattttttt	10200
384	cttaccggta	taaaaaggat	gagaagcaga	ggatatatca	agagggttagt	atgggtatgt	10260
386	ttttccatct	tcccattctt	tcgtttgagt	cgttatcta	gttgaacgaa	tgagaaagaa	10320
388	cttatcagca	ctagcgtcat	ggaataaaac	ttcacggtat	tcaggatgtt	tatctttttt	10380
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394	atagttctga	gcttaaaatt	acaaaaggaa	cccgctgtc	agttcccttt	gtttaaaagga	10560
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398	ctataaaaaaa	aattatcgt	gtacttgcgt	ataatcctt	gttgcacaa	ccaaattttt	10680
400	attctatatt	gtggcttct	gattactatc	atcatcctat	ttgtggagtt	tttaatacct	10740
402	ttataccac	cgaattaaga	aaaattaata	ataaaaaaaat	tgaagcttta	agagaatttt	10800
404	ctgaatattc	agtaaatgag	gatgataaga	aattcgattt	aaccaaggat	caagaaaaag	10860
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410	cagtagttat	tttagttcca	gaaataaaatt	taacccccca	attgctctcg	cgatttggaa	11040
412	atagatttaa	tggtaaaatt	ggcatatatac	attctaaagca	aacagcagct	aagagattaa	11100
414	agacttggct	aaaagctaaa	tttggttcta	aaaaataat	agtaggaact	cgatcttcgg	11160
416	ctttagtgcc	tttagataac	attggttaa	taattatcga	tgaagagcat	gaccaatcat	11220
418	ttaggcagtc	agaagggttt	aaattctctg	ctagagactt	aagtataaaa	agggcacagc	11280
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422	taaaaagaaaa	taaatttata	agagggtata	ttcctaattcg	agttgtatgg	aacaagcctc	11400
424	ctaaattaat	agccttagat	atcaataaca	gcccttaat	aggcggagtt	gctaaagaga	11460
426	caattgaagc	aatgcaatca	accatagaca	gaggagaaca	ggttctagtt	tttattatata	11520
428	gacgaggatt	cgctccactc	tatcaatgtt	gtagttgtgg	ttgggttagca	gattgttaat	11580
430	cttgtgatac	aaatttagtc	ttccaccagg	caagaaaata	attaatttgc	cataggtgt	11640
432	aatctgceta	ctctgttaat	ttgtcttg	ccgcatgca	gtctaatgc	tttaatatgt	11700
434	atggagctgg	aacagagaga	gttgaagaag	ttcttaaaag	cagcttgc	aaagactccaa	11760
436	taatttagat	tgtatcatgc	tcaacaaaaa	aagtggggac	tatggaggct	atagttaaaa	11820
438	aaattcatc	ctcagacca	gcaattttag	tttgcactca	aatgctgc	aaaggacatg	11880
440	attttcctaa	agtcaccta	agcgttattt	taaatgctga	taatggcctt	ataagcccag	11940
442	aaattaatgc	attagagaaa	atatctcaat	tgcttattca	ggtctctg	agagcaggaa	12000
444	gaaaataataa	tcttgcaaaa	gttattattc	aaacaagata	tcctgtatgt	ataaatctt	12060
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448	aaatgaactt	acctccattt	actactttat	gtctgttt	gtgctcatca	ccaaactcaa	12180
450	agagtaatgt	agatttcctt	gagaagctg	ttttaatttt	atccatagg	actgatataa	12240
452	atgttattgg	tcccttgct	tcattagttt	cgaagtcga	aggaaattat	aggcaccaag	12300
454	tctatatcca	tgcacccaa	aagactttt	taaataaggt	ataaaatgtt	ttgacaacag	12360
456	agtttggaaa	atggccggaa	tctataaagg	ttaagtggc	tttcgcacatt	gatccaaatag	12420
458	acttaagcta	aatattaatc	ttaattaatt	gtcctggta	tattgggtt	ttgttttagtt	12480
460	tattctctgt	attaatttct	tctacagtca	ccccaaatct	tatcgctt	tctgataaga	12540
462	catccccctt	ttgtatttt	taagtacaa	agcctggatc	aataactcata	aaggtattt	12600

09/847,513 6

<210> 4
<211> 750
<212> DNA
<213> Naturally occurring gamma proteobacterium

<220>
<221> CDS
<222> (1)..(750)
<223> light-driven proton pump; has the properties of a light-driven proton pump when expressed with retinal in Escherichia coli. Note that additional three nucleotide residues incorporated by pcr priming with reference to the original 31A08 DNA sequence (DNA residues 4-6, ggt), adding a new restriction site for cloning
→

FYI: Per 1.823 of Sequence Ruler, the <223> response has a maximum of 4 lines.

VERIFICATION SUMMARY DATE: 05/16/2001
PATENT APPLICATION: US/09/847,513 TIME: 13:37:03

Input Set : A:\MBA10101.txt
Output Set: N:\CRF3\05162001\I847513.raw

L:11 M:270 C: Current Application Number differs, Replaced Current Application No
L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:24 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:1
L:134 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1
L:3559 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:2
L:3572 M:286 W: Invalid Database Entry: Valid Formats YYYY-MM-DD or Mon-YYYY, SEQ ID:2
L:3584 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:3
L:3597 M:286 W: Invalid Database Entry: Valid Formats YYYY-MM-DD or Mon-YYYY, SEQ ID:3
L:3615 M:259 W: Allowed number of lines exceeded, <223> Other Information:
L:3790 M:286 W: Invalid Database Entry: Valid Formats YYYY-MM-DD or Mon-YYYY, SEQ ID:6